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November 13, 2001

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Attention: Box Missing Parts
Application Processing Division
Special Processing and Correspondence Branch

Re: U.S. Patent Application
Applicant(s) : Andrew William Heath
Serial No. : 09/938,477 ✓
Filed : August 24, 2001
Title : NOVEL VACCINE DEVELOPMENT
Docket No. : 2257-1-001CON

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231 on November 13, 2001.

Michael D. Davis Reg. No. 39,161
(Name of Registered Representative)

Betty Schatz 11/13/01
(Signature and Date)

SUBMISSION OF MISSING PARTS OF APPLICATION
UNDER 37 CFR 1.53(d)

Sir:

Responsive to the Notice to File Missing Parts of Application dated September 13, 2001, (copy enclosed) under 37 CFR 1.53(d), Applicants submit herewith the following:



ASSISTANT COMMISSIONER FOR PATENTS

November 13, 2001

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Docket No.: 2257-1-001CON

1. A check in the amount of \$462.00, covering the following:

- (a) Filing Fee under 37 CFR 1.16 (including multiple
dependent claims) : \$397.00
- (b) Surcharge under 37 CFR 1.16 : 65.00
- (c) Small entity status is entitled to be asserted for the above-identified
application under 37 C.F.R. §1.27(c).

The time set for this response is November 13, 2001.

A correct Filing Receipt reflecting the above and attached information is requested.

Applicants hereby authorize that any charges in addition to the above authorized that relate to the filing and processing of the present Application in accordance with 37 CFR 1.16 and 1.17 may be charged to Deposit Account No. 11-1153.

Respectfully submitted,

Michael D. Davis
MICHAEL D. DAVIS
Attorney for Applicant(s)
Registration No. 39,161

MDD/bls
Enclosures

KLAUBER & JACKSON



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,477	08/24/2001	Andrew William Heath	2257-1-001CON

23565
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CONFIRMATION NO. 1891

FORMALITIES LETTER



OC000000006550997

Date Mailed: 09/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$27.
 - \$27 for 3 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 447.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01/14/2002 BABRAHA1 00000062 09938477

01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:203	27.00 OP

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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